DT03 Rec'd PCT/PTO

## EXPRESS MAIL # EV 584 576 990 US

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 37-03 DESIGNATED/ELECTED OFFICE (DO/EO/US) see 37 CFR 1.5) U.S. APPLICATION NO. **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED 13 July 2002 PCT/US03/21988 14 July 2003 TITLE OF INVENTION Monitoring Signal-to-Noise Ratio in X-Ray Diffraction Data APPLICANT(S) FOR DO/EO/US Bi-Cheng Wang; Zheng-Qing Fu; and John P. Rose Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. [X]The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. LX A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Certificate of Mailing; Return Postcard

20. X

Page 1 of 2

DT15 TISCE POT/PTO 0 7 JAN 2005

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/US03/21988					ATTORNEY'S DOCKET NUMBER 37-03	
21. The following fees are submitted:					Applicant use	Office use only
X   a) Basic national fee\$300.00					\$ 300	-
X b) Examination fee\$200.00					\$ 200	-
X   c) Search fee\$500.00					\$ 500	
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1000	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each						
	mputer program listing fil 0 sheets of paper or fract					
Total Sheets			er of each additional 50 or fraction RATE eof (round up to a whole number)			
35 - 100 =	0 /50 =			× \$250.00	\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130	
CLAIMS NUMBER FILE		LED	NUMBER EXTRA RATE		\$	<u> </u>
Total claims	19	- 20 =	0	× \$50.00	\$	
Independent clai	ms 6	- 3 =	3	× \$200.00	\$ 600	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =					\$ 1730	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$	
SUBTOTAL =					\$ 865	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =					\$ 865	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$ 865	
Amount to be refunded:						\$
Amount to be charged						\$
a. X A check in the amount of \$ 865.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1969. A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
GREENLEE, WINNER and SULLIVAN, P.C.					3 15.	
4875 Pearl East Circle, Suite 200 SIGNATURE					- Haron	
Boulder, CO 80301 Stephen B					. Barone	
(303) 499-8080 (303) 499-8089 (fax)						
1/07/05						
					ON NUMBER	

**a**. 2 ⋅ 1

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Attorney Docket No.: 37-03

Applicant(s):

Wang et al.

Serial No.: 371 Filing Date:

Unassigned Unassigned

Title:

Monitoring Signal-to-Noise Ratio in X-Ray Diffraction Data

I hereby certify that the following correspondence:

- 1. Transmittal Letter to DO/EO/US Concerning a Submission under 35 USC 371 (2 pages)
- 2. Copy of WO 2004/013683 A2 (37 pages)
- 3. Application Data Sheet 3 pages
- 4. Unexecuted Joint Inventors' Declaration and Power of Attorney 3 pages
- 5. Check in the amount of \$865.00 USD
- 6. Preliminary Amendment (3 pages)
- 7. Return Postcard

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Mail Stop PCT Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450

On January 7, 2005

Kay Speaker

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV 584 576 990 US

("Express Mail" Mailing Label Number)